

Partner

## Tatsuki Otawa

Daini Tokyo Bar Association, Japan Federation of Bar Associations (2010)

Location

Tokyo Office

## Practice Areas

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### Finance and Insurance

Financial Regulations / Capital Markets / Fund/Investment Management / FinTech

### Risk Management & Compliance

Corporate Investigation / Bribery, Financial and Governmental Regulations / Corporate Investigation / Product Liability

### Competition and Antitrust

Government Investigations / Competition/Consumer Protection Litigation / Merger Control / Competition/Consumer Protection Counseling / Competition/Consumer Protection Compliance

### Consumer Protection

Government Investigations (under the Premiums and Representations Act, etc.) / Consultations on Consumer Contracts, Terms of Use, and the Act on Specified Commercial Transactions / Injunction Demands and Lawsuits / Consultations on Compliance with Advertising and Labelling Regulations, including the Premiums and Representations Act

### Corporate and M&A

M&amp;A, Reorganization, and Business Alliance / Private Equity / Venture and Startup Support / Corporate Litigations, Disputes and D&amp;O Liabilities

### Industries

Information Technology, Internet and Telecommunications

### Personal Data, AI, IT and Digital

FinTech

## Education & Professional Experience

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2006 Hitotsubashi University (LL.B.)

2009 Waseda Law School (J.D.)

September 2020 - June 2022

Securities Inspection Division, Executive Bureau, Securities and Exchange Surveillance Commission (Special Inspector)

(Temporary concurrent appointment as the Financial Instrument Inspector at the Risk Analysis Division, Strategy Development and Management Bureau, Financial Services Agency)

(Temporary concurrent appointment as a member of the Anti-Money Laundering and Counter-Terrorism Financing Team at the Strategy Development and Management Bureau, Financial Services Agency)

## Publications

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2024.07.18	PANORAMIC - Government Investigations 2025 - Japan Chapter
2023.12.25	Amendments to the Financial Instruments and Exchange Act to Ensure Customer-Oriented Business Management – Significance of the Legalization of Best Interest Priority Obligation and the Realization of the Substantial Provision of Information as well as the Their Impact Thereof on Practice –
2023.07.28	Getting the Deal Through - Government Investigations 2024 - Japan Chapter
2023.03.24	High-Speed Trading Regulations in Japan – Latest Developments Almost Four Years after their Introduction –
2021.04.16	Learn through Q&A : A Practical Guide to the Subcontracting Act for each Industry
2016.09	Series 3: Important aspects of the “ simple determination proceedings ”
2016.09	Website of BUSINESS LAWYERS

## Seminars/Lectures

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2019.11.11	Crisis Here and Now - Business Practice that should be reconsidered in Light of the 2019 Amendment to the Antitrust Law
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## Languages

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Japanese and English