Partner

Toshiyuki Sawai

Daiichi Tokyo Bar Association, Japan Federation of Bar Associations (2009)
New York (2018)
Location
Tokyo Office

Practice Areas

Corporate and M&A

General Corporate Practice / Private Equity / Corporate Governance/Shareholders Meeting / Venture and Startup Support / ESG and Sustainability

Risk Management & Compliance

Corporate Investigation / Business and Human Rights

Intellectual Property Law

License and Franchise

Dispute Resolution

Corporate Litigations, Disputes and D&O Liabilities

Finance and Insurance

Fund/Investment Management

Employment Law

Employment Consulting

Industries

Information Technology, Internet and Telecommunications / Real Estate

Personal Data, AI, IT and Digital

Protection of Personal Information and Privacy / AI, Machine Learning / FinTech / FinTech

Sustainability and ESG

Sustainability Governance / Business and Human Rights / Environmental Law

Education & Professional Experience

2018-2020
Deputy Director, Financial Market Division, Policy and Markets Bureau, Financial Services Agency Japan
（In charge of Crypto Asset laws and Fiduciary Duty Principles）

2017-2018
Pillsbury Winthrop Shaw Pittman LLP (New York)

2017

University of Michigan Law School (LL.M.)

2008
Kyoto University Law School (J.D.)

2006
Kyoto University (LL.B.)

Activities

2015-2016
Adjunct Faculty Member, Kyoto University Law School

2015-2016
Independent Director, Kawasaki Kasei Chemicals Ltd.

Publications

2026.01.30	6500 Legal Measures for Financial Institutions
2024.05.08	mondaq - ESG Comparative Guide : Japan
2024.02.02	Understanding the Basics: Q&A on the Legal Practice on ESG and Sustainability
2023.12.25	Amendments to the Financial Instruments and Exchange Act to Ensure Customer-Oriented Business Management – Significance of the Legalization of Best Interest Priority Obligation and the Realization of the Substantial Provision of Information as well as the Their Impact Thereof on Practice –
2023.05.11	The Legal 500 Country Comparative Guides Japan Environmental, Social and Governance
2023.04.21	How to Protect Assets in Relation to Digital Salary Payment - With regard to the Publication of Partial Revision of the "Guideline for Administrative Processes (Vol. 3: Financial Companies)" (Draft) (Dated February 17, 2023)
2023.01.17	New Developments in the Public Campaigns for Shareholders Concerning Election and Dismissal of Officers - Considering the Disputes between Fujitec and Oasis
2023.01.13	[Companies Act] Implementation of Human Rights Due Diligence Based on the Government Guideline
2022.11.25	Implementation of Human Rights Due Diligence in Financial Institutions (Volume 2) - In Light of the Government Guidelines and International Trends -
2022.11.14	Implementation of Human Rights Due Diligence in Financial Institutions (Volume 1) - In Light of the Government Guidelines and International Trends -
2022.08.10	Education of Compliance in the Financial Industry Considering Scandals at Major Securities Companies (An Interview Article)
2022.07.25	[Legal] New Trends in ESG and M&A (Oh-Ebashi LPC & Partners)/[2] Points on Sustainability Information Disclosure and the Required Legal Practice
2022.05.25	Ideal System to Secure Product Governance
2022.02.14	6000 Legal Measures for Financial Institutions
2022.02.08	Thinking about How to Carry Out Customer Oriented Business Operation in the Future in Light of Overseas Cases (An Interview Article)
2021.12.27	ESG Proposals and Long-Term Investment as Prerequisites (An Interview Article)
2021.12	Acceleration of Climate Change Measures in Japan - Financial Services Agency Considering Mandatory Information Disclosure (A Comment Article)
2021.11.10	Recommendation of ESG Investment to Individual Investors and Customer Oriented Business Operation
2021.08.25	Institutionalization of Globally Competitive ESG and Sustainability Disclosure - In Light of the Publication of the "Report by the Expert Panel on Sustainable Finance" (Dated June 18, 2021) -
2021.07.14	Doing Business In... 2021 Japan　Trends and Developments
2021.07	Corporate governance code revisions set to drive Japan ’ s ESG and sustainability push

2021.06.10	Volume 2 [2021 Revision] Legal Practice on ESG Disclosure in Light of the Corporate Governance Code Revisions Plan
2021.06.02	Volume 1 [2021 Revision] The Principles of Corporate Governance Code regarding ESG and its Legal Practice
2021.05.25	Organizing the Points to Consider When Entering the Financial Services Intermediary Business
2021.03.25	Emergence of a Cashless Society and Compulsory Execution(Volume 2)
2021.03.10	Emergence of a Cashless Society and Compulsory Execution (Volume 1)
2021.02.12	Realization of the Principles of Suitability and Customer Oriented Business Operations -By Referring to Regulations in Europe and the U.S. -
2020.12.21	New Financial Service Intermediary Business License
2020.10.30	Summary of the Principles of Customer Oriented Business Operations and Revision of Supervision Guidelines, and their Impacts on Legal Practice (Second Half)
2020.10.23	Summary of the Principle of Customer Oriented Business Operations and Revision of Supervision Guidelines, and their Impacts on Legal Practice (First Half)
2020.10.01	Financial Instruments and Exchange Act and Crypto-Assets - Sorting out the Regulatory Relationship in the Areas of Overlap with the Payment Services Act
2020.09.14	Legal Regulations on Funds Investing in Cryptocurrencies, and Key Points Thereof
2020.08	Emergent Need to Reconsider the Customer Solicitation and Explanation by Financial Business Operators and Customer Oriented Business Operations
2019.08.01	Explanation of "the Act for the Partial Revision of the Payment Services Act to Address the Diversification of Financial Transactions based on Advances in Information Technology" (2)
2019.07.15	Outline of Partial Amendment to the Financial Instruments and Exchange Act - Response to New Transactions and Unfair Acts Using Crypto-Assets -
2018.05	Learning about the Amendment to Laws on Obligations through Case Studies
2017.02	Practices on Directors and Officers (D&O) Liability Insurance
2014.12	Discussion of Practices Concerning the 2014 Amendment of the Companies Act
2014.12	Practical Commentary on Revised Companies Act of 2014

Seminars/Lectures

2026.03.05	【Online Seminar】Series of Seminars for Shareholders' Meetings 2026 (from Basics to Emergency Response) (3): Responding to Shareholder Proposals and Demands to Convene Shareholders' Meetings -With an Eye on Activist Trends-
2025.05.26	【Online Seminar】 Series of Seminars on M&A in Various Business Fields (11): Investment in and Acquisition of Fintech and Other Financial Businesses
2023.04.25	Dow Jones, Risk Compliance, Seminar for General Business Corporations: Summary of Recent Trends and Legal Practice of "Business and Human Rights" Within and Outside Japan
2023.03.31	【Onilne Seminar: Video Streaming】 FinTech Camp Points on Lifting of the Ban on Digital Salary Payment (Total of 6 Seminars)
2023.02.06～2023.04.22	【Onilne Seminar: Video Streaming】 Recent Trends in Legal Affairs Related to ESG/Sustainability: (2) ESG/Sustainability Disclosures - With a Focus on the Disclosures That Are Required in the Securities Reports Starting From the Year Ending March 2023 -
2023.02.03	【Onilne Seminar】Recent Trends in Legal Affairs Related to ESG/Sustainability: (2) ESG/Sustainability Disclosures - With a Focus on the Disclosures That Are Required in the Securities Reports Starting From the Year Ending March 2023 -
2022.12.08～2023.02.12	【Onilne Seminar: Video Streaming】Key Points in Examination and Preparation Relating to Lifting of the Ban on "Digital Salary Payment" - From the Viewpoints of Labor Law and the Fund Settlement Law -

2022.12.07	【Onilne Seminar】 Key Points in Examination and Preparation Relating to Lifting of the Ban on "Digital Salary Payment" - From the Viewpoints of Labor Law and the Fund Settlement Law
2022.09.29～2022.12.03	【Onilne Seminar: Video Streaming】 "Things You Can Do Now to Prepare for 2023 Shareholders' Meeting" (2): Handling of Shareholders' Demand Calling for an Extraordinary Shareholders' Meeting
2022.09.28	【Onilne Seminar】"Things You Can Do Now to Prepare for 2023 Shareholders' Meeting" (2): Handling of Shareholders' Demand Calling for an Extraordinary Shareholders' Meeting
2022.08.03～2023.08.02	【Online Seminar: Video Streaming】 Basics of Contract Practices: M&A Agreements
2022.06.29	【Onilne Seminar】Recent Trend in Fund Settlement Legislation: Focusing on Stablecoins and Issues Related Thereto
2022.05.24～2022.09.30	【Online Seminar: Video Streaming】 According to the Type of Business: Practice of Human Rights Due Diligence Based on Disclosed Cases
2022.03.15	【Live Streaming】 Latest Regulatory Trends of ESG and Sustainability and Legal Practice - Sustainability Disclosures and Human Rights Due Diligence - MUFG Business Seminar and Bengo4.com, Inc. Project
2021.12.06	【Online Seminar】 Can it be Used for Fund Raising? ESG Management to Begin Next Year - MUFG Business Seminar and Bengo4.com, Inc. Project
2021.11.30	【Online Seminar】 Encouragement of ESG Investment and Customer Oriented Business Operations to Individual Investors
2021.11.04～2021.12.10	【Online Seminar: Video Streaming】 Legal Practice for Compliance with the Revised Corporate Governance Code - Toward an Era of Sustainability Governance -
2021.10.29	【Online Seminar】Legal Practice for Compliance with the Revised Corporate Governance Code - Toward an Era of Sustainability Governance
2021.10.22～2022.01.07	【Online Seminar: Video Streaming】Basics of ESG/Sustainability and Corporate Legal Affairs
2021.10.18～2021.12.03	【Online Seminar: Video Streaming】 Series of M&A Legal Practice Seminars (2): Basics of M&A Agreements
2021.10.15	【Onilne Seminar】Series of M&A Legal Practice Seminars (2): Basics of M&A Agreements
2021.06.23～2021.07.30	【Online Seminar: Video Streaming】Understanding Cashless Legislation in Preparation for the Lifting of the Ban on Digital Payment of Salaries.
2021.06.18	【Online Seminar】Understanding Cashless Legislation in Preparation for the Lifting of the Ban on Digital Payment of Salaries.
2021.04.13	Structure of and Practical Points on Directors and Officers (D&O) Liability Insurance and Company Compensation Structure - In Order to Review the Details of Coverage in Response to the Amended Companies Act -
2021.02.25	【Online Seminar】Compliance Practice under the Amended Companies Act of 2019 Based on the Amended Order of the Ministry of Justice (Part 2)
2021.02.19	Practical key points of legal system of "Cashless and Payment Service Business" and "New Financial Service Intermediary Business" -With a focus on feasibility from the FinTech perspective -
2021.01.25	Seminar: "Enforcement Coming Soon! Practical Points on the Amended Companies Act/Examples of Directors and Officers Liability Insurance"
2021.01.15	Regulations on Sales and Solicitation of Financial Products, and Explanation of the Revised Customer Oriented Principle and Key Points for Preparation
2020.10.09	Thorough Understanding of "Financial Service Intermediary Business" and its Potential Use through Various Methods, such as Face-to-Face, Remote and the Like
2020.08.31	【Online Seminar】Explanation of the Financial Services Intermediaries System and its Impact on the Independent Financial Advisor (IFA) Platform- In Light of the Potential Revision to the Principle of Customer Oriented Business Operations
2016.04	Best Practices for Complying with the Corporate Governance Code - With a focus on the ordinary general meetings of shareholders to be held in 2016 -
2015.09	The Basics of and Practices on General Terms and Conditions - Points to Review in View of the Civil

	Code Reform (Law on Obligations) -
2015.08	Seminar on the Corporate Governance Code "Best Practices for Complying With the Corporate Governance Code"
2014.11	Main Issues concerning the Revised Companies Act 2014 - Focusing on Practical Issues
2014.11	Commentary on Revised Companies Act of 2014 - Practical Point of View
2012.04	Points in Concluding Contracts after Amendment to the Civil Code (the law of obligations) - Based on the Interim Summary of Points of Discussion

Languages

Japanese and English